



# Deformed $N = 1$ SCFTs and their supergravity duals

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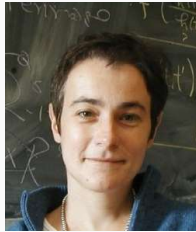
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Motivation & “The Question”

$N = 1$  AdS<sub>5</sub> in IIB & generalised geometry

Marginal deformations, holomorphic data & counting chirals

# Motivation & “The Question”

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# Motivation

Focus on 4d  $N = 1$  SCFTs with type IIB duals

- Canonical example

$$\text{IIB on AdS}_5 \times S^5 \Leftrightarrow N = 4 \text{ SYM}$$

- Generalisation with all fluxes

$$\text{IIB on AdS}_5 \times M \Leftrightarrow N = 1 \text{ SCFT}$$

Known solutions

- e.g. metric +  $F_5 \Rightarrow M$  is Sasaki–Einstein
- e.g. Pilch–Warner,  $\beta$  deformation [Lunin, Maldacena '05]

## 4d $N = 4$ SYM in $N = 1$ language

Three chiral fields  $\Phi^i$  with  $SU(3)$  flavour symmetry and **superpotential**

$$\mathcal{W} = \epsilon_{ijk} \text{tr}(\Phi^i \Phi^j \Phi^k)$$

$F$ -term conditions imply  $\Phi^i$  **commute**:  $\partial_1 \mathcal{W} \propto [\Phi^2, \Phi^3] = 0$ , etc.

Chiral ring  $\leftrightarrow$  ring of **holomorphic functions** on  $C(S^5) = \mathbb{C}^3$ :

$$\mathcal{O}_f = f_{i_1 \dots i_n} \text{tr}(\Phi^{i_1} \dots \Phi^{i_n}) \quad \leftrightarrow \quad f(z^i)$$

**Hilbert series**: graded count of single-trace mesonic operators

$$H(t) = \sum_k n_k t^k = \frac{1}{(1-t)^3} = 1 + 3t + 6t^2 + 10t^3 + \dots$$

# Marginal deformations

e.g.  $N = 1$  deformations of  $N = 4$  SYM [Leigh, Strassler '95]

$$\mathcal{W} = f_{ijk} \text{tr}(\Phi^i \Phi^j \Phi^k)$$

- $f_{ijk} \in 10_{\mathbb{C}}$  of  $SU(3)$  – 10 complex d.o.f.
- One-loop beta functions

$$f_{ikl} \bar{f}^{jkl} - \frac{1}{3} \delta_i^j f_{klm} \bar{f}^{klm} = 0$$

Exactly marginal couplings form conformal manifold [Kol '02, Kol '10, Green et al. '10]

$$\mathcal{M}_c = \{f_{ijk}\} // SU(3) = \{f_{ijk}\} / SL(3, \mathbb{C})$$

# Superpotential and chirals

At the  $N = 4$  point, we can choose

$$\Delta\mathcal{W} = f_\beta \operatorname{tr}(\Phi^1\Phi^2\Phi^3) + f_\lambda \operatorname{tr}[(\Phi^1)^3 + (\Phi^2)^3 + (\Phi^3)^3]$$

$F$ -term relations define **non-commutative** Sklyanin algebra [Ginzburg '06]

Chiral operators for **generic**  $f_\beta$  and  $f_\lambda$  counted by [Van den Bergh '94]

$$H(t) = \frac{(1+t)^3}{1-t^3} = 1 + 3t + 3t^2 + 2t^3 + \dots$$

- Two marginal deformations
- Not known for  $N = 1$  SCFTs



# Dual geometries?

Can we understand the **dual geometries**?

- $f_\lambda = 0$ : “ $\beta$  deformation”, preserves  $U(1)^2$  isometry, **exact** dual solution known [Lunin, Maldacena ‘05]
- Generic: **no isometries** (other than  $U(1)_R$ )
- For  $S^5$ , *tour de force* 3rd-order **perturbative** analysis [Aharony, Kol, Yankielowicz ‘02], but full solution not known

Can we count the **chiral operators**?

- Even for known  $\beta$ -deformed background, counting KK modes looks hard...

## Goals of talk

1. Review how marginal vs **exactly** marginal appears in supergravity
2. Describe supergravity analogue of **holomorphic data** encoded by  $\mathcal{W}$
3. Show how holomorphic data determines solution up to action of **complexified** diffeos + gauge
4. Compute Hilbert series for **deformed SCFTs** from dual geometry

$N = 1$  AdS<sub>5</sub> in IIB & generalised  
geometry

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# Supersymmetric AdS<sub>5</sub> backgrounds

Generic type IIB solution preserving **8 supercharges** with fields  $(\Delta, \tau, H, F_3, F_5, g)$

$$ds_{10}^2 = e^{2\Delta} ds^2(\text{AdS}_5) + ds^2(M)$$

**Symmetries:** GDiff  $\sim$  diffeos +  $p$ -form gauge

$$\delta B^i = d\lambda^i, \quad \delta C_4 = d\rho - \frac{1}{2}\epsilon_{ij} d\lambda^i \wedge dB^j$$

**Supersymmetry:** fermions = 0 and  $\delta_\epsilon(\text{fermions}) = 0$

$$\nabla_m \epsilon + (\text{flux})_m \cdot \epsilon = 0, \quad \gamma^m \nabla_m \epsilon + \text{flux} \cdot \epsilon = 0$$

with  $\epsilon = (\epsilon_1, \epsilon_2)$  stabilised by USp(6) [Coimbra, Strickland-Constable, Waldram '14]

## Example: Sasaki–Einstein

e.g.  $M$  is **Sasaki–Einstein**

Geometry defined by nowhere-vanishing tensors  $\sigma_m, j_{mn}$  and  $\Omega_{mn}$

- Defined by **spinor bilinears**:  $j_{mn} \sim \bar{\epsilon} \gamma_{mn} \epsilon$ , etc.
- $\xi = g^{-1} \sigma$  is a **Killing vector**, defines  $U(1)_R$  of dual SCFT

Tensors satisfy **algebraic conditions**

$$v_\xi \sigma = 1, \quad v_\xi j = v_\xi \Omega = j \wedge \Omega = 0, \quad j^2 = \frac{1}{2} |\Omega|^2$$

Invariant under  $SU(2) \subset GL(5, \mathbb{R})$

## Example: Sasaki–Einstein

Supersymmetry implies **differential conditions** on invariant tensors

$$\begin{aligned}d\sigma &= 2j, & d\Omega &= 3i\sigma \wedge \Omega, \\ F_5 &= 4(\text{vol}(\text{AdS}_5) + \text{vol}(M_5))\end{aligned}$$

- $\mathcal{L}_\xi$  preserves full solution
- Corresponds to **SU(2) structure** with singlet intrinsic torsion

# SUSY backgrounds with flux

Long history of using **G-structures** and **generalised geometry** to analyse supersymmetric flux backgrounds [Hull '07; Pacheco, Waldram '08; Coimbra, Strickland-Constable, Waldram '11; Berman et al. '11;...]

Generic  $\text{AdS}_5$  case: spinor  $\epsilon$  defines **exceptional Sasaki–Einstein** structure, stabilised by  $\text{USp}(6)$  [AA, Petrini, Waldram '16]

- Defined by pair  $(X, K)$  in  $E_{6(6)} \times \mathbb{R}^+$  **generalised geometry**

$$X \sim \text{hyper d.o.f.} \quad K \sim \text{vector d.o.f.}$$

- Construct tensors as **irreps** of  $E_{6(6)} \times \mathbb{R}^+$

$$\text{GL}(5, \mathbb{R}) \rightarrow E_{6(6)} \times \mathbb{R}^+$$

Generalised vector  $V^A$  parametrises **diffeos** + **gauge** transformations

$$27 \sim E \simeq T \oplus 2T^* \oplus \Lambda^3 T^* \oplus 2\Lambda^5 T^*$$
$$V^A = v^a + \lambda_a^i + \rho_{abc} + \sigma_{abcde}^i$$

Invariant cubic form on  $E$

$$c(V, V, V) = -\frac{1}{2}v_\nu \rho \wedge \rho + \dots \in \det T^*$$

**K structure** defined by

$$K \in E \quad \text{s.t.} \quad c(K, K, K) > 0$$

- Generalised vector invariant under  $F_{4(4)} \in E_{6(6)}$



# X structure

e.g. adjoint elements

$$78 \sim \text{ad} \simeq 3\mathbb{R} \oplus (T \otimes T^*) \oplus 2\Lambda^2 T^* \oplus 2\Lambda^2 T \oplus \Lambda^4 T^* \oplus \Lambda^4 T$$
$$R^A_B = \dots + B^i_{ab} + \dots + C_{abcd} + \dots$$

X structure defined by

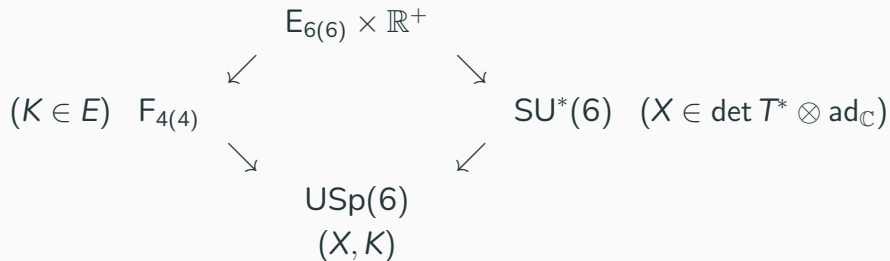
$$X \in \text{ad}_{\mathbb{C}} \otimes \det T^* \quad \text{s.t.} \quad \text{tr}(X\bar{X}) \neq 0$$

- Complex adjoint tensor invariant under  $SU^*(6) \in E_{6(6)}$
- $X = \kappa(J_1 + iJ_2) = \kappa J_+$  defines **su<sub>2</sub> triplet**

$$[J_\alpha, J_\beta] = 2\kappa \epsilon_{\alpha\beta\gamma} J_\gamma, \quad \text{tr}(J_\alpha J_\beta) = -\kappa^2 \delta_{\alpha\beta}, \quad \kappa^2 \in \det T^*$$

# Generalised structures

Spinor  $\epsilon$  defines the pair  $(X, K)$



Intersect on  $USp(6)$  if **compatible**

$$X \cdot K = 0, \quad \text{tr}(X\bar{X}) = c(K, K, K)^2$$

$(X, K)$  equivalent to specifying **all supergravity fields** for solution

## Example: Sasaki–Einstein

Recall structure defined by  $(\sigma, j, \Omega)$

**K structure** defines “contact structure”

$$K = e^C(\xi - \sigma \wedge j) \in T \oplus \Lambda^3 T^* \subset E$$

**X structure** defines “Cauchy–Riemann structure”

$$X = e^{C + \frac{1}{2}ij^2} u^i \sigma \wedge \Omega \in 2\Lambda^3 T^* \subset \text{ad}_{\mathbb{C}} \otimes \det T^*$$

with  $u^i = \tau_2^{-1/2}(\tau, 1)^i$  and  $\tau = \chi + ie^{-2\phi}$

# Supersymmetry

Symmetries act by a **generalised Lie derivative**

$$L_V = \mathcal{L}_V - (d\lambda^i + d\rho) \\ \sim \text{diffeo} + \text{gauge}$$

**Supersymmetry** of the solution is then equivalent to [AA, Petrini, Waldram '16]

$$L_K K = 0, \quad L_K X = 3iX, \\ \mu_+(V) = 0, \quad \mu_3(V) = \int_M c(K, K, V) \quad \forall V$$

- Equivalent to supersymmetry conditions derived in [Gauntlett et al. '04]
- $\frac{2}{3}L_K$  generates  $U(1)_R$  of dual SCFT

# Moment maps

The  $\mu_\alpha$  are a triplet of **moment maps** for the action of

$$\text{GDiff} \simeq \text{diffeo} + \text{gauge}$$

Infinitesimally,  $V \in \Gamma(E) \simeq \mathfrak{g}\text{diff}$  acts by

$$\delta J_\alpha = L_V J_\alpha$$

Action preserves **hyper-Kähler structure** on space of  $J_\alpha$  so that

$$\mu_\alpha(V) = -\frac{1}{2}\epsilon_{\alpha\beta\gamma} \int_M \text{tr}(J_\beta L_V J_\gamma)$$

Marginal deformations,  
holomorphic data & counting  
chirals

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## Marginal vs exactly marginal deformations

The field theory result of [Kol '02, Kol '10, Green et al. '10] that all marginal deformations are exactly marginal unless there is a global symmetry follows directly from **moment map structure**

e.g.  $\text{AdS}_5 \times S^5$ ,  $(X, K)$  preserved by  $\text{SU}(3)$

- **Linearised deformation** parameterised by  $f = f_{ijk} z^i z^j z^k$
- $\mu_\alpha(V)$  **trivially** zero for  $V \in \text{SU}(3)$
- Further moment map for  $\text{SU}(3)$  and quotient on  $\{f_{ijk}\}$

$$\mu_{\text{SU}(3)} \equiv f_{ikl} \bar{f}^{jkl} - \frac{1}{3} \delta_i^j f_{klm} \bar{f}^{klm} = 0$$

gives space of **exactly marginal couplings**

## Deformed solutions

Can we solve for the general supergravity solution dual to the deformed field theories? *Unlikely!*

- Solving for generic solution seems **intractable** – no isometries, harder than Monge–Ampère for Calabi–Yau

Instead, focus on **holomorphic data**

$$\mu_+ \equiv \mu_1 + i\mu_2 = 0, \quad L_K K = 0, \quad L_K X = 3iX$$

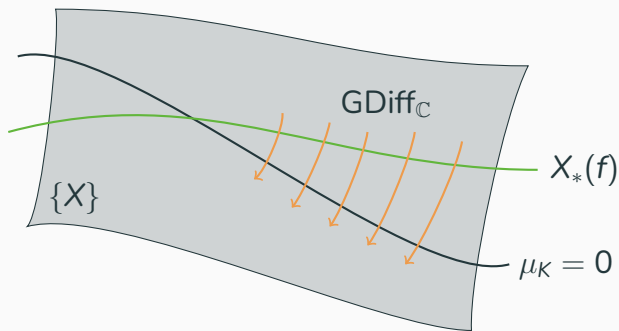
- “Exceptional Sasaki” (ES)
- Space of  $X$  that solve these conditions is still **Kähler**
- Final condition is a real moment map  $\mu_3$  for  $\text{GDiff}$



# General argument

Given solution  $(X_*, K)$  to ES conditions, can show that full solution exists:

1. Space of  $X$  with fixed  $K$  inherits invariant Kähler metric
2.  $\mu_K(V) = \mu_3(V) - \int_M c(K, K, V)$  is moment map for  $\text{GDiff}$  with fixed  $K$
3.  $(X_*, K)$  matches exactly marginal solutions for **infinitesimal** deformations
4. Open subset of **stable** points that lie on orbits of  $\text{GDiff}_{\mathbb{C}}^K$  will intersect  $\mu_K = 0$  – all  $(X_*, K)$  are stable and thus can be mapped to full solutions
5. Different  $X_*$  flow to different solutions unless there are **isometries**
6.  $X_*$  related by isometries map to **same solution** under  $\text{GDiff}_{\mathbb{C}}^K$ , in agreement with field theory [Kol '02, Kol '10, Green et al. '10]



1. Fixing an orbit  $[X] \simeq \text{GDiff}_{\mathbb{C}} \cdot X$  fixes the **superpotential**  $\mathcal{W}$  of dual SCFT
2.  $L_K X = 3iX$  fixes  $\Delta = 3$  – **marginal** deformation
3. Motion along orbit  $\equiv$  **renormalisation** of Kähler potential

## Example: $S^5$ again

Mesonic operators  $\text{tr}(\Phi \dots)$   $\leftrightarrow$  holomorphic functions  $f(z)$  on cone

- **Marginal**  $\Rightarrow \mathcal{L}_\xi f = 3if$

Cone is  $C(S^5) = \mathbb{C}^3$ ; functions are  $f = f_{ijk} z^i z^j z^k$

Recall

$$X = e^{\frac{1}{2}ij^2} u^i \sigma \wedge \Omega \sim u^i \sigma \wedge \Omega \quad \text{up to } \text{GDiff}_{\mathbb{C}}$$

How do we **deform** this by  $f$ ? Marginal for  $\mathcal{L}_\xi f = 3if$

# $X_*$ for deformed $S^5$ background

New family of solutions to **holomorphic** conditions

$$K = \xi - \sigma \wedge j, \quad X_* = e^{b^i(f)}(df + v^i(f)\sigma \wedge \Omega)$$

with  $b^i \in \Lambda^2 T_{\mathbb{C}}^*$  linear and  $v^i$  quadratic in  $f$

- In  $S^5$  case and  $f$  cubic, reproduces **second-order** parts of [Aharony, Kol, Yankielowicz '02]
- If  $f = z^1 z^2 z^3$ , can solve for **explicit**  $\text{GDiff}_{\mathbb{C}}$  to take solution to exact  $\beta$ -deformed solution
- Works for deformation of any **Sasaki–Einstein** background –  $T^{1,1}$ , etc.

What can we calculate using this (partial) solution?

$X_*$  fixes **superpotential** so should encode space of mesonic operators

$$\mathcal{O}_f = f_{ijkl\dots} \text{tr}(\Phi^i \Phi^j \Phi^k \Phi^l \dots)$$

Can count these graded by  $R$ -charge  $\rightarrow$  **Hilbert series**

- Counting for Sasaki–Einstein point done by [Eager, Schmude, Tachikawa '12]
- But we want to count for the **deformed theory!**

# Chiral spectrum

Counting  $\delta X$  up to  $\text{GDiff}_{\mathbb{C}}$  defines a **cohomology** since

$$E_{\mathbb{C}} \xrightarrow{L \bullet X} T\{X\} \xrightarrow{\delta \mu_+} E_{\mathbb{C}}^*$$

Cohomology counts **chiral operators** (drop  $L_K X = 3iX$  condition)

$$\text{chirals} \sim \frac{\{\delta X \mid \delta \mu_+ = 0\}}{\{\delta X = L_V X\}}$$

Counting depends only on **class** of  $X_*$  and  $[X] = [X_*]$

# Calculating the cohomology

Easiest when the deformed solution is **generic** –  $df \neq 0$

- Using  $\text{GDiff}_{\mathbb{C}}$ , can then write  $X_*$  as

$$X_* = e^{\tilde{b}^i(\tau, f) + c_4(\tau, f)} df$$

Cohomology then reduces to [Tasker '21]

$$\dots \xrightarrow{d} df \wedge \Lambda^p T_{\mathbb{C}}^* \xrightarrow{d} df \wedge \Lambda^{p+1} T_{\mathbb{C}}^* \xrightarrow{d} \dots$$

which can be computed using **Kohn–Rossi cohomology** of original Sasaki–Einstein

# Counting chirals

## Hilbert series

$$H(t) \equiv \sum_k n_k t^k = 1 + \mathcal{I}_{\text{s.t.}}(t) - [k \equiv_3 0, k > 0] t^{2k}$$

e.g. deformed  $S^5$  with

$$f = f_\beta z^1 z^2 z^3 + f_\lambda [(z^1)^3 + (z^2)^3 + (z^3)^3]$$

Hilbert series is

$$H(t) = \frac{(1+t)^3}{1-t^3} = 1 + 3t + 3t^2 + 2t^3 + \dots$$

in **agreement** with [Van den Bergh '94]



## New results

e.g.  $T^{1,1}$  – undeformed result

$$H(t) = \frac{1 + t^{3/2}}{(1 - t^{3/2})^3} = 1 + 4t^{3/2} + 9t^3 + 16t^{9/2} \dots$$

For theory with generic deformed superpotential

$$H(t) = \frac{1 + 4t^{3/2} + 2t^3}{1 - t^3} = 1 + 4t^{3/2} + 3t^3 + 4t^{9/2} + \dots$$

- Matches explicit counting of gauge-invariant chiral field modulo  $F$ -term relations up to  $k = 21/3$  [Tasker '21]
- No previous calculation of cyclic homology / chirals for deformed theory

New results for  $\#n(S^2 \times S^3)$ , etc.

# Summary

Background geometry naturally encodes **superpotential** of dual SCFT

Can find supergravity solution for deformations up to **GDiff<sub>C</sub> action** – large class of new supergravity duals

**Class** of structure  $[X]$  determines spectrum of **chiral operators**

Future

- Same/similar formalism for AdS<sub>5</sub>/AdS<sub>4</sub> in **M-theory**
- Cohomology gives **supersymmetric index**
- **a-maximisation** for generic supersymmetric backgrounds –  
$$a^{-1} \sim \int_M c(K, K, K)$$